

FORMALITIES LETTER



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/536,633 | 03/28/2000 | Toshihiro Ezaki | 2000-0401A |

Wenderoth Lind & Ponack LLP
2033 K Street NW
Ste 800
Washington, DC 20006



Date Mailed: 06/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$270.
 - \$270 for 15 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 530.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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| 270.00 | 00 |
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06/29/2000 M.J.W.H.S

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| FC:103 | 01 |
| FC:105 | 02 |
| FC:139 | 03 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshihiro EZAKI et al.

Serial No. 09/536,633

Filed March 28, 2000

NETWORK MANAGEMENT SYSTEM



Docket No. 2000-0401A

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

| | SMALL ENTITY | LARGE ENTITY | |
|--|---------------------|---------------------|-----------------|
| Total Claims exceeding 20 (not already paid for): 15 x | (\$ 9 = \$) | or | (\$18 = \$270) |
| Indep. Claims exceeding 3 (not already paid for): x | (\$39 = \$) | or | (\$78 = \$) |
| <input type="checkbox"/> Multiple Dep. Claim(s) (if there previously were none): + | (\$130 = \$) | or | (\$260 = \$) |
| Total Additional Fee = | <u>\$</u> | or | <u>\$270.00</u> |

- Small entity status of this application is established by the verified statement under 37 C.F.R. 1.9 and 1.27 which
 is enclosed or
 has been previously submitted.

- A check in the amount of \$270.00 is enclosed.

Please charge Deposit Account No. 23-0975 the amount of \$ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Toshihiro EZAKI et al.

By Charles R. Watts
Charles R. Watts
Registration No. 33,142
Attorney for Applicant

CRW/epb
Washington, D.C.
Telephone (202) 721-8200
June 28, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshihiro EZAKI et al.

Serial No. 09/536,633

Filed March 28, 2000



Attn: BOX MISSING PARTS

Attorney Docket No. 2000-0401A

NETWORK MANAGEMENT SYSTEM

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$530.00 to cover Patent Office fees relating to filing, the following attached papers:

| | |
|---|----------|
| Late filing of executed Declaration | \$130.00 |
| Translation | \$130.00 |
| Extra Claims Fee | \$270.00 |

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Toshihiro EZAKI et al.

By

Charles R. Watts
Charles R. Watts
Registration No. 33,142
Attorney for Applicants

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June 28, 2000

[Check No. *38692*]
2000-0401A

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshihiro EZAKI et al.

Serial No. 09/536,633

Filed March 28, 2000



Attn: BOX MISSING PARTS

Docket No. 2000-0401A

NETWORK MANAGEMENT SYSTEM

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application dated June 2, 2000, submitted herewith are the required Declaration and Power of Attorney and English language Translation.

Also enclosed are the PTO surcharge of \$260.00, required by 37 CFR 1.16(e), and a copy of the Notice to File Missing Parts.

Favorable action on the merits is now requested.

Respectfully submitted,

Toshihiro EZAKI et al.

By: Charles R. Watts

Charles R. Watts

Registration No. 33,142

Attorney for Applicants

CRW/epb

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June 28, 2000

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FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.